

IPU

Attorney Docket No: U007 (BPAY.0010004)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re applicat	ion of	Timothy L. Robi	inson		
Serial No.:	10	0/625,045		Examiner:	To Be Assigned
Confirmation	n No.:	1087		Art Unit:	3621
Filed:	7/23/	2003			
For:		TRICTED TRANSA			ORIZATION OF AGE- `AN UNATTENDED
Commissione Alexandria,					
,	INFO	RMATION DISCL	OSURE STA	TEMENT TR	ANSMITTAL
		an Information Discl		ent and accompa	anying Form PTO/SB/08A
[X]			F.R. §1.97(b),	no additional f	ee for submission of the
[]		s required. cordance with 37 C.	F.R. §1.97(c),	also enclosed is	s:
				forth in 37 C.F in 37 C.F.R. §1	F.R. §1.17(p); or
0	In acc		*	•	specified in 37 C.F.R. §
	1.97(e) and the fee of \$18	30.00 as set fo	rth in 37 C.F.R.	. §1.17(p) are also enclosed.
[]		k in the amount of \$			
[] [X]		orization to charge a urn receipt postcard			s attached.
[**]	11100	ann receipt posicura	15 4150 4114105		y submitted,
Dated: 10	1176	5	Ву:		
		e S. Kobayashi		(Duarie S. K	Obayashi

Law Office of Duane S. Kobayash 1325 Murray Downs Way Reston, VA 20194

Tel: 703-464-7902 Fax: 703-935-0276 Attorney Docket No: U007 (BPAY.0010004)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Timothy L. Robinson

Serial No.:

10/625,045

Examiner:

To Be Assigned

Confirmation No.:

1087

Art Unit:

3621

Filed:

07/23/2003

For:

SYSTEM AND METHOD FOR BIOMETRIC AUTHORIZATION OF AGE-

RESTRICTED TRANSACTIONS CONDUCTED AT AN UNATTENDED

DEVICE

Commissioner for Patents Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

	- · · · · · · · · · · · · · · · · · · ·
	Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
	Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
[X]	No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 10/251,305, filed September 20, 2002, to which the above-identified application claims priority under 35 U.S.C. §120.
	Publication(s) listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to application serial no and mailed on
[]	Enclosed is a copy of a non-English publication(s) Pursuant to §609 of the

M.P.E.P., Applicant submits the attached foreign search or examination report,

which cites such non-English language publication(s).

Appl. No.: 10/625,045

Inventor: Timothy L. Robinson

Page 2 of 2

Enclosed is a copy of a non-English publication(s) English language publication (copy enclosed) claims priority from this non-English publication.
Enclosed is an explanation of non-English publication(s) for which an English translation is not available.
Enclosed is an English translation of non-English publication(s)cited in the attached Form PTO/SB/08A.

[X] The Examiner's attention is directed to co-pending patent Application Serial No. 11/141,033, filed June 1, 2005, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

This Information Disclosure Statement is filed within any one of the following time periods:

- [] within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- [] within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- [X] before the mailing date of a first office action on the merits; or
- [] before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Dated: [017105

By:

Duane S. Kobayashi

Reg. No. 41122

Law Office of Duane S. Kobayashi 1325 Murray Downs Way Reston, VA 20194

Tel: 703-464-7902 Fax: 703-935-0276

			12	.\$/		1 10/3B/06A (06-00)
Sub	stitute for form 14	49A/PT(TRADEMAN.	Complete if Known	
				Application Number	10/625,045	
			SCLOSURE	Filing Date	07/23/2003	
S	TATEMENT	BY A	PPLICANT	First Named Inventor	ROBINSON	
	(use as many s	hoots a	e nacassami)	Group Art Unit	3621	
	(use as many s	neers u	s necessury)	Examiner Name	To Be Assigned	
Sheet	1	of	2	Attorney Docket Number	U007 (BPAY.0010004)	

U.S. PATENT DOCUMENTS							
Examiner	Cite No. 1	U.S. Paten Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY		
Initials*		20050144122		Hoffman et al.	06 2006		
	A1	20050144133	A1		06-2005		
	A2	20040192434	A1	Walker et al.	09-2004		
	A3	20030222135	A1	Stoutenburg et al.	12-2003		
	A4	20030216987	Al	Mollett et al.	11-2003		
	A5	20030187796	A1	Swift et al.	10-2003		
	A6	20030187790	A1	Swift et al.	10-2003		
	A7	20030183689	A1	Swift et al.	10-2003		
	A8	20020153414	A1	Stoutenburg et al.	10-2002		
	A9	6,935,559	B2	Mollett et al.	08-2005		
	A10	6,886,742	B2	Stoutenburg et al.	05-2005		
	A11	6,865,544	Bl	Austin	03-2005		
	A12	6,827,260	B2	Stoutenburg et al.	12-2004		
	A13	6,755,344	B1	Mollett et al.	06-2004		
	A14	6,591,224	B1	Sullivan et al.	07-2003		
	A15	6,532,459	B1	Berson	03-2003		
	A16	6,507,912	B1	Matyas et al.	01-2003		
	A17	6,317,834	Bl	Gennaro et al.	11-2001		
	A18	6,160,903	A	Hamid et al.	12-2000		
	A19	5,455,407		Rosen	10-1995		
•	A20	5,453,601		Rosen	09-1995		
	A21	5,042,073		Collot et al.	08-1991		
,							
				 			
	 	 	_	- 			

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document				Date of Publication				
initiais*	NO.	Office Code ⁵	Number ⁴	Kind	Name of Patentee or Applicant of Cited Document	of Cited Document MM-YYYY	T ⁶			
		<u> </u>		(if known)						
	B1	EP	0762261	A2	Tabuki	03-1997				
	B2	JР	358044583	Α	Ohara	03-1983				

Examiner		Date	
Signature		Considered	
*EVALORED	Titled to account the same testing to the		MPED (00 Day line drawn believing if not in

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent

PTO/SB/08A (08-00)

				LONDO		
				Complete if Known		
Substitute for form 1449B/PTO				Application Number	10/625,045	
DIE	0 D B 6 4 MY 6		COL COLIDE	Filing Date	07/23/2003	
INFORMATION DISCLOSURE				First Named Inventor	ROBINSON	
STA	STATEMENT BY APPLICANT			Group Art Unit	3621	
(u	se as many si	heets a	s necessary)	Examiner Name	To Be Assigned	
Sheet	2	of	2	Attorney Docket Number	U007 (BPAY.0010004)	

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	Cl	IBM Technical Disclosure Bulletin, Title: selective receipt of document elements in an electronic mail system, Issue Number 429, TDB-ACC-NO: NNRD429177, January 2000, UK.					

Examiner	 Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number.
 Applicant is to place a check mark here if English language Translation attached.